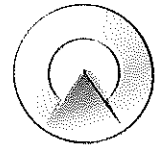


Schindler Elevator Corporation
5833 Barry Rd.
Tampa, FL 33634



Schindler

City of Clearwater
100 S. Myrtle Ave., 3rd Fl
Clearwater, FL 33756

April 24, 2018

Letter of Transmittal

Schindler Elevator has surveyed all sites and has the knowlegde and skill level to maintain each and every elevator type that is part of the City of Clearwater.

Schindler Elevator has the qualifications and manpower to meet the City's specifications including response times, monthly maintenance and down elevator repairs.

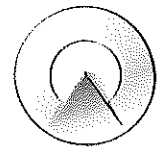
The following Schindler Representatives will be assisged over the City of Clearwater:

Eileen O'Neil – Account Manager

James Charnisky – Service Superintendent

Gregory Genux – Service Superintendent

Lawrence Hilliard – Branch Manager



Schindler

Schindler Elevator Corporation
5833 Barry Rd.
Tampa, FL 33634

City of Clearwater
100 S. Myrtle Ave., 3rd Fl
Clearwater, FL 33756

April 24, 2018

Experience of Schindler

Global Locations: A network of more than 1,000 branches in over 100 countries

Founded: 1874, in Lucerne, Switzerland

Est. Revenues: Group operating revenue of more than 9.4 billion

Market ranks: Schindler is one of the leading global manufacturers of elevators, escalators and moving walks.

U.S. Operations: Schindler Elevator Corporation established in Toledo, Ohio, in 1979

Headquarters: Moved to Morristown, New Jersey upon acquisition of the elevator and escalator business of Westinghouse Electric Corporation in 1989

Manufacturing: Hanover, PA; Clinton, NC

Product Companies: Elevator Products Corporation (division of Schindler Elevator Corporation); Adams Elevator Equipment Company (Schindler Elevator Corporation sister company)

Locations: More than 250 nationwide

Employees: More than 57,000 worldwide; more than 5,000 in North America.

24-hour Schindler Service programs are designed for expert maintenance of all brands of elevator and escalator equipment

- * Schindler offers expert modernization on all brands of elevators and escalators
- * Schindler stocks approximately 50,000 parts of all manufacturers for immediate repairs and replacement.
- * Schindler FieldLink™ keeps Schindler technicians linked to the national network at all times and provides them with product information on all brands of equipment as well as a Spare Parts app with a searchable inventory of over 40,000 parts.
- * Schindler Direct is a proactive remote monitoring solution that can help return equipment to service up to 22% faster than traditional troubleshooting methods by detecting changes in performance, identifying potential issues, and resolving them before they develop.
- * Schindler Dashboard Mobile, provides up-to-date reports about maintenance activity on most mobile devices. Available for iOS, Android and Windows users from the Apple App Store, Google Play and Microsoft App Store.

Requested refereremces as follow:

City of Clearwater
1900 Grand Ave.
Clearwater, FL 33765
Tom Wojtkiw
727-224-7070
tom.wojtkiw@myclearwater.com

Elevator maintenance beginning in 2004.

Sarasota County
PO Box 8
Sarasota, FL 34230
Bill Pfender
941-861-5000
wpfender@scgov.net

Elevator maintenance beginning in 2017.

City of Sarasota
2099 Adams Lane
Sarasota, FL 34237
941-915-1382
paul.shumway@sarasotagov.com

Elevator maintenance beginning in 2011.

Schindler Elevator Corporation
5833 Barry Rd.
Tampa, FL 33634



City of Clearwater
100 S. Myrtle Ave., 3rd Fl
Clearwater, FL 33756

April 24, 2018

Qualification of Personnel

All of our mechanics have been through the apprentice program, have moved up to Helper and then either Mechanic or Foreman. Our mechanics go through continuous schooling and training to keep them up to date on all new equipment along with troubleshooting older equipment.

Schindler Elevator has the largest engineering force that our mechanics are able to reach out to for assistance with troubleshooting all types of equipment along with virtual tools to help them with problem solving.

We currently have 24 mechanics working out of the Tampa office. The Tampa office services south to Englewood and north to the Villages.

Requested resumes as follows:

Eileen O'Neil, Account Manager
25 years in the elevator industry
13 years with Schindler Elevator

James Charnisky, Service Superintendent
15 years in the elevator industry
3 years with Schindler Elevator

Gregory Geneux, Service Superintendent
31 years in the elevator industry
5 years with Schindler Elevator

Field/Route Mechanics - Multiple

CERTIFICATE OF COMPETENCY LIST – Schindler Elevator Corporation (Tampa Office)

| | |
|--------------------|--------|
| Nicholas Amorelli | CC4283 |
| James Baker | CC1211 |
| Bret Boehm | CC1562 |
| John Bogeman | CC4144 |
| Barry Campiglia | CC3917 |
| Jason Carter | CC2492 |
| David Case | CC3310 |
| Erik Cobb | CC3932 |
| Kevin Dannels | CC1671 |
| Ron Dellechiaie | CC3989 |
| Michael DeRosa | CC4108 |
| Jose Fernandez | CC2654 |
| Timothy Fletcher | CC4100 |
| Robert Gear | CC3653 |
| Josiah Gumbs | CC3383 |
| Daniel Harris | CC3824 |
| Kenneth Krause | CC3923 |
| Joseph Marshall | CC2679 |
| Bryan McGlamry | CC2980 |
| Mark Mroczkowski | CC2649 |
| Gary Mull | CC177 |
| Perry Parsons | CC2948 |
| Justin Pharo | CC4056 |
| Steven Quinones | CC4161 |
| Christopher Riggs | CC3238 |
| Chet Rocha | CC3694 |
| Glen Sladcik | CC1301 |
| Darby Stidham | CC1210 |
| John Triplett, Jr. | CC2488 |
| Ernie Velez | CC2435 |
| William Way | CC814 |
| Keith Williams | CC1770 |
| Zachary Zorn | CC2840 |

Schindler Elevator Corporation
5833 Barry Rd.
Tampa, FL 33634

City of Clearwater
100 S. Myrtle Ave., 3rd Fl
Clearwater, FL 33756



April 24, 2018

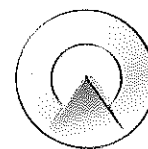
Ability to Respond

Schindler Elevator has the ability to meet all of the specification and requirements of the City of Clearwater's RFP.

In addition to our 24 mechanics that rotate evenings and weekends, we have 2 repair teams that handle just upgrade work or contract work that requires more than one mechanic to be on-site.

Our dispatchers are Schindler employees and are located in Ohio. This center is manned 24/7 and has a direct link to our mechanics. Our dispatchers are able to locate the closest mechanic and dispatch them directly. ETA's are provided for all service calls placed and updates are sent via email.

Along with our Schindler engineers that are on call 24/7, our Team One can be requested at any given time to assist our local mechanics with troubleshooting and our located throughout the Southeast.



Schindler

Schindler Elevator Corporation
5833 Barry Rd.
Tampa, FL 33634-3020
Phone: 813-313-1946
Fax: 813-888-7785

April 24, 2018

City of Clearwater
100 S. Myrtle Ave., 3rd FL
Clearwater, FL 33756

Re: RFP #06-18

The five (5) Schindler 3300 Gearless elevators in various locations that are included in the RFP were installed by Schindler Elevator within the last several years and contain proprietary software in the controllers. Schindler Elevator is the OEM of the controllers, can provide the proper tooling to diagnose problems and is the only company that can continually provide all new software upgrades.

The "single source" program is the result of an ongoing engineering effort to maintain optimum reliability of the equipment as it ages and common problems are identified in a product line. No competitor can service or provide and continue implementation of this program.

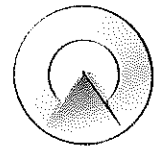
Schindler being the manufacturer of the equipment also provides the most highly effective and well-trained factory work force available for your equipment. Schindler equipment specific training is done on site, in classrooms and by virtual class where members of our engineering staff can address large audiences at one time. No other company can provide their technicians with this type of training or certification on your equipment simply because much of the information is from Schindler engineering and for Schindler use only. Schindler does not provide training outside the company.

We sincerely appreciate the long-term relationship spanning over 10 years that we have had with The City of Clearwater. We look forward to many more years to come.

Sincerely,

Eileen O'Neil
Sales Representative/Account Manager

Schindler Elevator Corporation
5833 Barry Rd.
Tampa, FL 33634



Schindler

City of Clearwater
100 S. Myrtle Ave., 3rd Fl
Clearwater, FL 33756

April 24, 2018

Pricing for Services

The below pricing is derived directly from the City of Clearwater RFP. 1.25 hours per month for hydraulic elevators, 2.0 hours per month for traction elevators and .50 per month for lifts.

Pricing below also includes the required 24/7 coverage for the City Hall, Main Library, Garden, MSB, Main PD and Long Ctr.

All pricing will be firm for 3 years.

| <u>Location</u> | <u>Type</u> | <u>Monthly Pricing</u> |
|----------------------------|-------------|------------------------|
| General Services WC | Lift | 75.00 |
| Sailing Center | Hydraulic | 200.00 |
| Fire 44 | Hydraulic | 200.00 |
| Clearwater Beach PD | Hydraulic | 200.00 |
| Clearwater Beach Lifeguard | Lift | 75.00 |
| City Hall – 2 units | Geared | 958.00 |
| Main Library – 3 units | Hydraulic | 715.00 |
| Garden Avenue | Hydraulic | 238.00 |
| MSB Garage | Hydraulic | 200.00 |
| MSB – 2 units | Hydraulic | 476.00 |
| Main PD – 2 units | Hydraulic | 476.00 |
| Fire 45 | Gearless | 430.00 |
| WPC Marshall Control | Hydraulic | 200.00 |
| Jack Russell | Gearless | 430.00 |
| Sid Lickton | Gearless | 430.00 |

| <u>Location</u> | <u>Type</u> | <u>Monthly Pricing</u> |
|--------------------------|-------------|------------------------|
| Long Ctr/UPARC – 2 units | Hydraulic | 476.00 |
| Carpenters Field | Hydraulic | 200.00 |
| Spectrum Field – 2 units | Hydraulic | 400.00 |
| Joe Dimaggio | Gearless | 430.00 |
| WTP RO #2- | Hydraulic | 200.00 |
| Eddie C Moore | Gearless | 430.00 |
| FDOT Bldg. | Hydraulic | 200.00 |
| WPC NE Control | Hydraulic | 200.00 |

Total monthly cost: 7,839.00

Billing rates will be as follows:

| Position | Straight Time | Over Time | Double Time |
|----------|---------------|-----------|-------------|
| Helper | 291.88 | 496.20 | 583.77 |
| Mechanic | 364.85 | 620.25 | 729.71 |
| Team | 656.73 | 1,116.45 | 1,313.48 |

**** If the City of Clearwater chooses to use the Schindler Standard Tasking Module/Maintenance Control Program (included), the monthly price would be reduced significantly. Monthly Fire Testing would be performed by the City. This is being utilized by the City of Clearwater currently with the seven (7) elevators being serviced by Schindler Elevator.**

**** Billing rates can be adjusted if using Schindler Standard.**

EXCEPTIONS / ADDITIONAL MATERIAL / ADDENDA

Proposers shall indicate any and all exceptions taken to the provisions or specifications in this solicitation document. Exceptions that surface elsewhere and that do not also appear under this section shall be considered invalid and void and of no contractual significance.

Exceptions (mark one):

****Special Note – Any material exceptions taken to the City's Terms and Conditions may render a Proposal non-responsive.**

☐ No exceptions

☒ Exceptions taken (describe--attach additional pages if needed)

Article S.20, Maintenance Agreement, paragraph E add " Provided Contractor was served with written notice and reasonable opportunity to cure"

Page 29 first full paragraph, include "obsolete component/equipment"

Additional Materials submitted (mark one):

☐ No additional materials have been included with this proposal

☐ Additional Materials attached (describe--attach additional pages if needed)

Acknowledgement of addenda issued for this solicitation:

Prior to submitting a response to this solicitation, it is the vendor's responsibility to confirm if any addenda have been issued.

| Addenda Number | Initial to acknowledge receipt |
|----------------|--------------------------------|
| | |
| | |
| | |

Vendor Name Schindler Elevator Corporation

Date: 4/24/2018

VENDOR INFORMATION

Company Legal/Corporate Name: Schindler Elevator Corporation

Doing Business As (if different than above): N/A

Address: 5833 Barry Road

City: Tampa State: FL Zip: 33634

Phone: 813-888-5335 Fax: 813-888-7785

E-Mail Address: eileen.oneil@schindler.com Website: www.us.schindler.com

DUNS # 09 480 9993

Remit to Address (if different than above):

Address: _____

City: _____ State: _____ Zip: _____

Order from Address (if different from above):

Address: _____

City: _____ State: _____ Zip: _____

Contact for Questions about this proposal:

Name: Eileen O'Neil Fax: 813-888-7785

Phone: 813-313-1946 E-Mail Address: eileen.oneil@schindler.com

Day-to-Day Project Contact (if awarded):

Name: James Charnisky Fax: 813-888-7785

Phone: 813-498-8110 E-Mail Address: james.chnisky@schindler.com

n/a Certified Small Business

Certifying Agency: _____

n/a Certified Minority, Woman or Disadvantaged Business Enterprise

Certifying Agency: _____

VENDOR CERTIFICATION OF OFFER

By signing and submitting this Proposal, the Vendor certifies that:

- a) It is under no legal prohibition to contract with the City of Clearwater.
- b) It has read, understands, and is in compliance with the specifications, terms and conditions stated herein, as well as its attachments, and any referenced documents.
- c) It has no known, undisclosed conflicts of interest.
- d) The prices offered were independently developed without consultation or collusion with any of the other respondents or potential respondents or any other anti-competitive practices.
- e) No offer of gifts, payments or other consideration were made to any City employee, officer, elected official, or consultant who has or may have had a role in the procurement process for the services and or goods/materials covered by this contract.
- f) It understands the City of Clearwater may copy all parts of this response, including without limitation any documents and/or materials copyrighted by the respondent, for internal use in evaluating respondent's offer, or in response to a public records request under Florida's public records law (F.S. 119) or other applicable law, subpoena, or other judicial process.
- g) Respondent hereby warrants to the City that the respondent and each of its subcontractors ("Subcontractors") will comply with, and are contractually obligated to comply with, all Federal Immigration laws and regulations that relate to their employees.
- h) Respondent certifies that they are not in violation of section 6(j) of the Federal Export Administration Act and not debarred by any Federal or public agency.
- i) It will provide the materials or services specified in compliance with all Federal, State, and Local Statutes and Rules if awarded by the City.
- j) It is current in all obligations due to the City.
- k) It will accept such terms and conditions in a resulting contract if awarded by the City.
- l) The signatory is an officer or duly authorized agent of the respondent with full power and authority to submit binding offers for the goods or services as specified herein.

ACCEPTED AND AGREED TO:

Company Name: Schindler Elevator Corporation

Signature: Eileen O'Neil

Printed Name: Eileen O'Neil

Title: Account Manager

Date: 4/24/18



**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION**

**BUREAU OF ELEVATOR SAFETY
1940 NORTH MONROE STREET
NORTHWOOD CENTRE
TALLAHASSEE FL 32399-1013**

850-487-1395

**SCHINDLER ELEVATOR CORP - TAMPA
5833 BARRY RD
TAMPA FL 33634**

Congratulations! With this license you become one of the nearly one million Floridians licensed by the Department of Business and Professional Regulation. Our professionals and businesses range from architects to yacht brokers, from boxers to barbeque restaurants, and they keep Florida's economy strong.

Every day we work to improve the way we do business in order to serve you better. For information about our services, please log onto www.myfloridallicense.com. There you can find more information about our divisions and the regulations that impact you, subscribe to department newsletters and learn more about the Department's initiatives.

Our mission at the Department is: License Efficiently, Regulate Fairly. We constantly strive to serve you better so that you can serve your customers. Thank you for doing business in Florida, and congratulations on your new license!



**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND
PROFESSIONAL REGULATION**

ELC54

ISSUED: 01/12/2015

**ELEVATOR COMPANY
SCHINDLER ELEVATOR CORP - TAMPA
ELEVATOR COMPANY
REQUIRED TO CARRY OR BE COVERED
BY GENERAL LIABILITY INSURANCE**

**IS REGISTERED under the provisions of Ch.399 FS.
Expiration date : DEC 31, 2015 L1501120000001**

DETACH HERE

RICK SCOTT, GOVERNOR

KEN LAWSON, SECRETARY

**STATE OF FLORIDA
DEPARTMENT OF BUSINESS AND PROFESSIONAL REGULATION
BUREAU OF ELEVATOR SAFETY**

| LICENSE NUMBER | |
|-----------------------|--|
| ELC54 | |

**The ELEVATOR COMPANY
Named below IS REGISTERED
Under the provisions of Chapter 399 FS.
Expiration date: DEC 31, 2015
REQUIRED TO CARRY OR BE COVERED
BY GENERAL LIABILITY INSURANCE**

**SCHINDLER ELEVATOR CORP - TAMPA
5833 BARRY RD
TAMPA FL 33634**

**NON-
TRANSFERABLE**



ISSUED: 01/12/2015

DISPLAY AS REQUIRED BY LAW

SEQ # L1501120000001



CERTIFICATE OF LIABILITY INSURANCE

Page 1 of 1

DATE (MM/DD/YYYY)
12/20/2017

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

| | | |
|---|---|------------------------------------|
| PRODUCER Willis of New York, Inc. c/o 26 Century Blvd. P. O. Box 305191 Nashville, TN 37230-5191 | CONTACT NAME: PHONE (A/C, NO, EXT): 877-945-7378 E-MAIL ADDRESS: certificates@willis.com | FAX (A/C, NO): 888-467-2378 |
| | INSURER(S) AFFORDING COVERAGE | |
| INSURED Schindler Elevator Corporation P.O. Box 1935 20 Whippany Road Morristown, NJ 07962-1935 | INSURER A: Zurich American Insurance Company | |
| | INSURER B: American Zurich Insurance Company | |
| | INSURER C: | |
| | INSURER D: | |
| | INSURER E: | |

COVERAGES

CERTIFICATE NUMBER: 25975343

REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN. THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

| INSR LTR | TYPE OF INSURANCE | ADDL INSD | SUBR WVD | POLICY NUMBER | POLICY EFF (MM/DD/YYYY) | POLICY EXP (MM/DD/YYYY) | LIMITS |
|---|--|---|--|----------------|-------------------------|--------------------------------|---|
| A | <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY | | | GLO 6445435-28 | 1/1/2018 | 1/1/2019 | EACH OCCURRENCE \$ 2,000,000 |
| | <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR | | DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 1,000,000 | | | | |
| | <input checked="" type="checkbox"/> Contractual Liability | | MED EXP (Any one person) \$ 10,000 | | | | |
| | GEN'L AGGREGATE LIMIT APPLIES PER: | | PERSONAL & ADV INJURY \$ 2,000,000 | | | | |
| <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC | | | | | | GENERAL AGGREGATE \$ 5,000,000 | |
| | OTHER: | | | | | | PRODUCTS - COMP/OP AGG \$ 5,000,000 |
| A | <input checked="" type="checkbox"/> AUTOMOBILE LIABILITY | | | BAP6445436-28 | 1/1/2018 | 1/1/2019 | COMBINED SINGLE LIMIT (Ea accident) \$ 5,000,000 |
| | <input checked="" type="checkbox"/> ANY AUTO | | BODILY INJURY (Per person) \$ | | | | |
| | <input checked="" type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS | | BODILY INJURY (Per accident) \$ | | | | |
| | <input checked="" type="checkbox"/> HIRED AUTOS ONLY <input checked="" type="checkbox"/> NON-OWNED AUTOS ONLY | | PROPERTY DAMAGE (Per accident) \$ | | | | |
| | <input type="checkbox"/> UMBRELLA LIAB <input type="checkbox"/> OCCUR | | | | | | EACH OCCURRENCE \$ |
| | <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE | | | | | | AGGREGATE \$ |
| | <input type="checkbox"/> DED <input type="checkbox"/> RETENTION \$ | | | | | | \$ |
| A | WORKERS COMPENSATION AND EMPLOYERS' LIABILITY | | | WC 6445438-29 | 1/1/2018 | 1/1/2019 | <input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER |
| B | ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below | Y/N <input checked="" type="checkbox"/> N | N/A | WC 6668187-27 | 1/1/2018 | 1/1/2019 | E.L. EACH ACCIDENT \$ 5,000,000 |
| | | | | | | | E.L. DISEASE - EA EMPLOYEE \$ 5,000,000 |
| | | | | | | | E.L. DISEASE - POLICY LIMIT \$ 5,000,000 |

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)
SEC6830 L

Evidence of Insurance Coverage.

CERTIFICATE HOLDER**CANCELLATION**

| | |
|--|--|
| Schindler Elevator Corporation 5833 Barry Road Tampa, FL 33634 | SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. |
| | AUTHORIZED REPRESENTATIVE |

Col1:5159097.Tp1:2193244 Cert:25975343 © 1988-2015 ACORD CORPORATION. All rights reserved.

Request for Taxpayer Identification Number and Certification

► Go to www.irs.gov/FormW9 for instructions and the latest information.

Give Form to the
requester. Do not
send to the IRS.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.

Schindler Elevator Corporation

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes.

☐ Individual/sole proprietor or single-member LLC ☒ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate

☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ►

Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.

☐ Other (see instructions) ►

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):

Exempt payee code (if any) 5

Exemption from FATCA reporting code (if any) _____

(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.) See instructions.

20 Whippany Road

6 City, state, and ZIP code

Morristown, NJ 07960

7 List account number(s) here (optional)

Requester's name and address (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number

____ - ____ - ____

or

Employer identification number

3 4 - 1 2 7 0 0 5 6

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign
Here

Signature of
U.S. person

James T. Rogers Assist Treas Date 1/2/2018

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.