

Proof of D&O/E&O Insurance

Certificate of D&O E&O Insurance					Date 01/06/2017	
Producer Lockton Insurance Brokers, LLC 725 S. Figueroa Street, 35 th Floor Los Angeles, California 90017 (213) 689-0065			THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.			
			PARTICIPATING INSURER AM BEST RATING			
			Company A	AIG Specialty Insurance Company (AIG)		A XV
B	Continental Casualty Company (CNA)		A XV			
Insured Victory Capital Holdings Inc. 4900 Tiedeman Road, 4 th Floor Brooklyn, OH, 44144			C	Federal Insurance Company (Chubb)		A++ XV
			D	Arch Insurance Company (Arch)		A+ XV
			E	Starr Indemnity & Liability Company (Starr)		A XIV
			F	QBE Insurance Corporation		A XV
			G	XL Specialty Insurance Company (XL)		A XV
			H	National Union Fire Insurance Company of Pittsburgh, PA (AIG)		A XV
CO LTR	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMITS / RETENTIONS	
	MANAGEMENT / PROFESSIONAL LIABILITY				Aggregate Limit	\$75,000,000
A	Management & Professional Liability (D&O/E&O)	06-283-44-30	12/31/2016	12/31/2017	Limit	\$10,000,000
					Retention	\$500,000
B	First Excess Management & Professional Liability	596628719	12/31/2016	12/31/2017	Limit	\$10,000,000
					Retention	N/A
C	Second Excess Management & Professional Liability	8235-2931	12/31/2016	12/31/2017	Limit	\$10,000,000
					Retention	N/A
D	Third Excess Management & Professional Liability	IAX9300124-02	12/31/2016	12/31/2017	Limit	\$10,000,000
					Retention	N/A
E	Fourth Excess Management & Professional Liability	1000057874161	12/31/2016	12/31/2017	Limit	\$10,000,000
					Retention	N/A
F	Fifth Excess Management & Professional Liability	QPL0436938	12/31/2016	12/31/2017	Limit	\$10,000,000
					Retention	N/A
G	Sixth Excess Management Liability – Side A DIC	ELU148238-16	12/31/2016	12/31/2017	Limit	10,000,000
					Retention	N/A
H	Seventh Excess Management Liability – Side A DIC	06-283-44-27	12/31/2016	12/31/2017	Limit	\$5,000,000
					Retention	N/A
COMMENTS:						
CERTIFICATE HOLDER				CANCELLATION		

EVIDENCE OF INSURANCE

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING COMPANY WILL ENDEAVOR TO MAIL 60 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO MAIL SUCH NOTICE SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE COMPANY, ITS AGENTS OR REPRESENTATIVES.

AUTHORIZED REPRESENTATIVE

